

# Merchant & Gould

An Intellectual Property Law Firm

3200 IDS Center  
80 South Eighth Street  
Minneapolis, Minnesota  
55402-2215 USA  
TEL: 612.332.5300  
FAX 612.332.9081  
www.merchant-gould.com

RECEIVED

MAY 03 2002

PCT SPECIAL  
PROGRAMS OFFICE

Fax Transmission

May 3, 2002

Time

TO: Assistant Commissioner for Patents  
Attn: EXAMINER TRAN  
Patent Examining Corps  
Facsimile Center  
Washington, D.C. 20231

FROM: Douglas P. Mueller

OUR REF: 10921.101-US-WO  
TELEPHONE: 612.371.5237

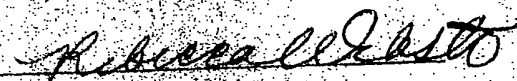
Total pages, including cover letter: 5  
PTO FAX NUMBER 703.305.2919

If you do NOT receive all of the pages, please telephone us at 612.332.5300, or fax us at 612.332.9081.

Title of Document Transmitted: Re-transmission of Previous fax dated March 22, 2002  
and attached documents: Response to Request for  
Missing Requirements, Photocopy of Notification of  
Missing Requirements

Applicant: Araki, et al.  
Serial No: 10/019810  
Filed: October 19, 2001  
Group Art Unit:  
Our Ref. No: 10921.101USWO

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

  
Signature: Rebecca Webster  
612-336-4779

May 3, 2002  
Date

# Merchant & Gould

An Intellectual Property Law Firm

3200 IDS Center  
80 South Eighth Street  
Minneapolis, Minnesota  
55402-2215 USA  
TEL 612.332.5300  
FAX 612.332.9081  
www.merchant-gould.com

RECEIVED

A Professional Corporation

Fax Transmission | March 22, 2002

MAY 03 2002

PCT SPECIAL  
PROGRAMS OFFICE

Time:

TO: Assistant Commissioner for Patents  
Attn: Barbara A. Campbell  
Patent Examining Corps  
Facsimile Center  
Washington, D.C. 20231

FROM: Douglas P. Mueller

OUR REF: 10921.101-US-WO  
TELEPHONE: 612.371.5237

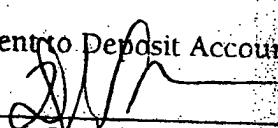
Total pages, including cover letter: 4  
PTO FAX NUMBER **703.305.3230**  
or  
**703.308.4785**

If you do NOT receive all of the pages, please telephone us at 612.332.5300, or fax us at 612.332.9081

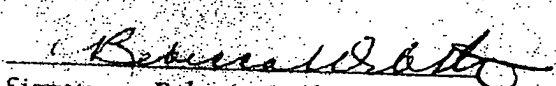
Title of Document Transmitted: Response to Request for Missing Requirements,  
Photocopy of Notification of Missing Requirements

Applicant: Araki, et al.  
Serial No.: 10/019810  
Filed: October 19, 2001  
Group Art Unit:  
Our Ref. No.: 10921.101USWO

Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725.

By:   
Name: Douglas P. Mueller  
Reg. No. 30,300

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

  
Signature: Rebecca Webster

March 22, 2002  
Date

#6

S/N 10/019810

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

Applicant:	Araki, et al.	Attn:	Campbell, Barbara
Serial No.:	10/019810	Docket No.:	10921.101USWO
Int'l Appln No.:	PCTJP02568	Filed:	October 19, 2001
Title:	AQUEOUS POLYAMIDE RESIN DISPERSION AND PROCESS FOR PRODUCING THE SAME		
		Int'l Filing Date:	April 19, 2000

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

Signature: *Rebecca Webster*

March 22, 2002  
Date

**RESPONSE TO REQUEST FOR COMPLETION OF MISSING REQUIREMENTS**

BOX PCT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

RECEIVED

MAY 03 2002

PCT SPECIAL  
RECEIVINGS OFFICE

Applicant respectfully submits that the attached NOTIFICATION OF MISSING REQUIREMENTS dated March 4, 2002, has been applied in error to the present application, and as such requests that it be cancelled. The present matter concerns an aqueous resin dispersion and is not relevant to amino acid sequences. Therefore 37 CFR 1.821-1.825 is not applicable.

Applicant respectfully requests that an official communication acknowledging acceptance of this statement be forwarded to the undersigned.

Respectfully submitted,  
MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, Minnesota 55402-0903  
(612) 332-5300

Dated: March 22, 2002

By

*Douglas P. Mueller*  
Douglas P. Mueller  
Reg. No. 30,300

DPM/rw



23552

PATENT TRADEMARK OFFICE

BGM-RW



UNITED STATES PATENT AND TRADEMARK OFFICE

Commission for Patents, Box 501  
United States Patent and Trademark Office  
Washington, D.C. 20541  
www.uspto.gov

US APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/019,810	Eiichi Araki	10921-101USWO ✓

INTERNATIONAL APPLICATION NO.	
PCT/JP00/02568	
LA FILING DATE	PRIORITY DATE
04/19/2000	04/21/1999

23552

23552  
MERCHANT & GOULD PC  
P.O. BOX 2903  
MINNEAPOLIS, MN 55402-0903

Miss Req 2, May 4 2002  
Miss Req 3/PTA, June 4 2002 D- PHZ  
Miss Req Start Sept 4 2002

Date Mailed: 03/04/2002

CONFIRMATION NO. 8818

371 FORMALITIES LETTER



\*0C000000007572490\*

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Assignee Statement
- Biochemical Sequence Listing
- Copy of PPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Preliminary Amendments
- Request for Immediate Examination

RECEIVED

MAY 03 2002

PCT SPECIAL  
PROGRAMS OFFICE

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

The following items **MUST** be furnished within the period set forth below:

BGM

• The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).

• **APPLICANT MUST PROVIDE:**

- An initial or substitute computer readable form (CRF) of the "Sequence Listing."
- A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).

• For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patent Software, call (703) 306-2600
- For Patent Software Program Help, call (703) 306-4119 or e-mail at [patin2help@uspto.gov](mailto:patin2help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

BARBARA A CAMPBELL

Telephone: (703) 305-3631

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/019,810	PCT/JP00/02568	10921.101USWO

FORM PCT/DC/EO/005 (371 Formalities Notice)

*Sample rep. stating  
that pat do not require  
Sig. listing.  
Ex to 703-305-3220  
(703-308-4785)*